

TECHNICAL APPENDIX FOR *WHY LEADERS FIGHT*, BY MICHAEL C. HOROWITZ,
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INTRODUCTION

This document serves as the technical appendix described in the book *Why Leaders Fight*. It contains additional information for interested readers of the book, including detailed statistical models for the charts and figures displayed in the book, technical information on modeling choices described in the book, and the codebook used to create the Leader Experience and Attribute Descriptions (LEAD) dataset.

For the replication data and instructions to re-create the statistical models, tables, charts, and figures in the book and in this appendix, please go to <https://dataverse.harvard.edu/dataverse/mchorowitz>. There you will find the relevant data and instructions, along with even more statistical tests to validate the results presented in the book. That page also contains additional information on the sources used to code the LEAD dataset.

MONADIC LEADER AND SYSTEM RISK MODELS

Chapter 2 of *Why Leaders Fight* includes several charts and tables estimating “leader risk,” the probability that leaders initiate military conflict based on their personal background characteristics, and “system risk,” the probability that leaders initiate military conflict (a militarized interstate dispute)¹ based on factors specific to their country or the international system, such as their overall military power or whether the country is a democracy or autocracy. Table A1, Model 1, shows the statistical results of the model that produced Figure 2.3.

Table A.1: Regression Results From Figure 2.3, With Robustness Tests

| | (Model 1) Leader Risk Model B/SE | (Model 2) Drop Duplicate Non- MID Leaders B/SE | (Model 3) One MID Per Leader Year B/SE |
|---|--|---|---|
| Military Service, No Combat | 0.709*** (0.192) | 0.700*** (0.193) | 0.575*** (0.145) |
| Military Service, Combat, 1 = Yes, 0 = No | 0.414** (0.170) | 0.397** (0.171) | 0.371** (0.150) |
| Prior Rebel Participation, 1 = Yes, 0 = No | 0.0223 (0.130) | -0.000664 (0.130) | 0.00401 (0.106) |
| National War Participation = Victory, 1 = Yes, 0 = No | 0.322* (0.165) | 0.342** (0.166) | 0.256* (0.147) |
| National War Participation = Loss, 1 = Yes, 0 = No | 0.0964 (0.170) | 0.0812 (0.170) | -0.0122 (0.135) |
| Rebel War Participation = Victory, 1 = Yes, 0 = No | -0.0611 (0.151) | -0.0635 (0.152) | -0.000352 (0.129) |
| Rebel War Participation = Loss, 1 = Yes, 0 = No | 0.714*** (0.265) | 0.726*** (0.267) | 0.594*** (0.223) |
| Education Level, 0 = Primary, 1 = Secondary, 2 = University, 3 = Graduate | 0.0464 (0.0663) | 0.0506 (0.0665) | 0.0526 (0.0547) |
| Leader Age | 0.0103** (0.00430) | 0.0116*** (0.00429) | 0.00616* (0.00359) |
| Occupation: Teacher, 1 = Yes, 0 = No | -0.0202 (0.130) | -0.0356 (0.131) | 0.0269 (0.108) |
| Occupation: Journalism, 1 = | -0.136 | -0.107 | -0.125 |

¹ Ghosn and Palmer 2006.

| | | | |
|---|------------------------------|-----------------------------|------------------------------|
| Yes, 0 = No | (0.198) | (0.202) | (0.160) |
| Occupation: Law, 1 = Yes, 0 = No | -0.147 (0.131) | -0.146 (0.132) | -0.0366 (0.113) |
| Occupation: Medicine, 1 = Yes, 0 = No | -0.553** (0.260) | -0.561** (0.261) | -0.358 (0.242) |
| Occupation: Religion, 1 = Yes, 0 = No | 0.362 (0.459) | 0.382 (0.464) | -0.156 (0.357) |
| Occupation: Activist, 1 = Yes, 0 = No | 0.147 (0.130) | 0.145 (0.130) | 0.190* (0.112) |
| Occupation: Career Politician, 1 = Yes, 0 = No | -0.0579 (0.103) | -0.0715 (0.103) | -0.0281 (0.0835) |
| Occupation: Creative, 1 = Yes, 0 = No | 0.525** (0.246) | 0.520** (0.247) | 0.202 (0.177) |
| Occupation: Business, 1 = Yes, 0 = No | -0.101 (0.143) | -0.0750 (0.145) | -0.0796 (0.122) |
| Occupation: Aristocrat/Landowner, 1 = Yes, 0 = No | -0.251 (0.212) | -0.243 (0.211) | -0.0843 (0.200) |
| Occupation: Police, 1 = Yes, 0 = No | 0.231 (0.421) | 0.178 (0.426) | 0.0187 (0.302) |
| Occupation: Military Career, 1 = Yes, 0 = No | -0.303 (0.192) | -0.278 (0.192) | -0.141 (0.152) |
| Occupation: Science/Engineering, 1 = Yes, 0 = No | 0.217 (0.241) | 0.192 (0.245) | 0.231 (0.200) |
| Occupation: Blue Collar, 1 = Yes, 0 = No | -0.0453 (0.201) | -0.0857 (0.199) | -0.129 (0.164) |
| LEAD Gender, 0 = Female, 1 = Male | -0.394 (0.286) | -0.361 (0.297) | -0.533** (0.260) |
| Number of Spouses (Count) | -0.0201 (0.0185) | -0.0200 (0.0189) | -0.0220 (0.0182) |
| Married (Ever), 1 = Yes, 0 = No | 0.0394 (0.353) | 0.0788 (0.353) | 0.257 (0.258) |
| Married in Power, 1 = Yes, 0 = No | -0.175 (0.198) | -0.196 (0.208) | -0.175 (0.179) |
| Divorced (Ever), 1 = Yes, 0 = No | -0.0163 (0.120) | -0.0184 (0.122) | -0.0401 (0.105) |
| Total number of children | 0.00397 (0.00546) | 0.00383 (0.00528) | 0.00488 (0.00488) |
| Parental Status, 0 = Together, 1 = Divorced | 0.378* (0.199) | 0.376* (0.201) | 0.369** (0.174) |
| Considered "Illegitimate" Child, 1 = Yes, 0 = No | -0.526** (0.233) | -0.555** (0.237) | -0.485** (0.195) |
| Royalty, 1 = Yes, 0 = No | -0.214 (0.209) | -0.227 (0.206) | -0.154 (0.189) |
| Orphan, 1 = Yes, 0 = No | -0.0975 (0.258) | -0.107 (0.268) | -0.0278 (0.231) |
| Office Tenure (days)/1000 | 0.0243* (0.0146) | 0.0129 (0.0147) | 0.0210* (0.0125) |
| Years since country MID initiation | -0.330*** (0.0230) | -0.354*** (0.0245) | -0.253*** (0.0185) |
| Years since country MID initiation ^2 | 0.0109*** (0.00136) | 0.0118*** (0.00148) | 0.00788*** (0.00107) |
| Years since country MID initiation ^3 | -0.0000990*** (0.0000192) | -0.000109*** (0.0000213) | -0.0000691*** (0.0000146) |
| Constant | -0.762 (0.522) | -0.733 (0.521) | -1.053*** (0.371) |

| | | | |
|--------------|---------|---------|---------|
| Observations | 11518 | 11228 | 10942 |
| Pseudo R^2 | 0.186 | 0.201 | 0.121 |
| ll | -4542.1 | -4411.2 | -3998.6 |

Standard errors in parentheses.

* $p < 0.10$, ** $p < 0.05$, *** $p < 0.01$.

Models 2 and 3 incorporate the robustness checks involving leader selection discussed on pages 65 and 66. To avoid excluding too many leaders, if more than one leader was in office during a given country-year, we included both leaders if one of the leaders started a military dispute that year. The reason was that something about the transition of leaders may have influenced the probability of a military dispute. Model 2 drops those additional observations, keeping only the leader who started a military dispute (as described in the book, given the relative rarity of military disputes) in that year. The consistency of the results with Model 1 shows that this decision did not play a significant role.

Model 3 reduces the dataset down to one observation per leader per country year. The main model includes multiple observations per leader year if a leader initiated more than one military dispute in a year (e.g., if Saddam Hussein initiated two military disputes in 1990, there would be two Saddam Hussein observations in 1990). This was the right decision for two reasons. First, the relative rarity of military disputes means we lose too much data if we exclude those observations. Second, in cases such as Hitler at the outset of World War II, multiple military disputes in a year are broadly related (insofar as all actions have opportunity costs), but can have independent decision processes. Thus, excluding the second –to– n th military dispute initiations in a year fundamentally changes the dataset. Model 3 shows, however, that even with those changes, the results are mostly consistent, though there are some differences, as one would expect when that much data is lost. Nevertheless, the overall consistency of Model 3 with Models 1 and 2 reinforces the idea that the specific choice of model specification for Figure 2.3 did not overly drive the results.

Another choice was to use peace year cubic polynomials for Figure 2.3 based on the country year. Instead of splines, the peace year variables approximate a cubic polynomial, e.g., x , x^2 , x^3 . We did this for consistency with prior literature and consistency with the splines used in the “system risk” model whose results are captured in Tables 2.2 and 2.3 (regression results further below).² Table A.2 replicates the leader-specific part of Table 2.2, but with leader-specific peace year variables. Thus, if it has been two years since a leader started a military conflict, $x = 2$, $x^2 = 4$, and $x^3 = 8$. Switching to leader-specific peace year variables actually improves the accuracy of the model somewhat at predicting the most dangerous leaders.

While, as described in the book, the specific leaders in the “Top 10” is not as important as the distinction between the “Top 10” of the leader model and the system model (which demonstrates that the leader model is not simply a proxy for how system attributes drive leader selection), it is still interesting to compare the “Top 10” of the original leader model in Table 2.2 with one based on leader-specific peace years.

The results are mostly similar, but there are distinctions. For example, including leader-specific peace years leads to the elevation of Guy Mollet, the Prime Minister of France who escalated the war in Algeria in 1956.³ Soviet-era leaders such as Chernenko and Stalin also rise, while US presidents such as Ford and Kennedy fall. The general consistency, however, suggests again that it is not particular modeling choices driving the overall trend of the results, even though particular leaders rise and fall depending on modeling choices.

² Beck et al. 1998; Signorino and Carter 2010.

³ Evans 2012.

Table A.2: Comparing Basic Leader Risk Model To Model With Leader Peace Years

| Leader Risk Top 10 – Original Table 2.2 | | | Leader Risk Top 10 - Leader Peace Years | | |
|---|--------------------|--------------------------|---|----------------------|--------------------------|
| <i>Country</i> | <i>Leader Name</i> | <i>Leader Risk Score</i> | <i>Country</i> | <i>Leader Name</i> | <i>Leader Risk Score</i> |
| China | Deng Xiaoping | 0.69 | Iran | Ayatollah Khomeini | 0.68 |
| Iran | Ayatollah Khomeini | 0.69 | China | Deng Xiaoping | 0.68 |
| U.S. | Ronald Reagan | 0.68 | France | Guy Mollet | 0.67 |
| Croatia | Franjo Tudjman | 0.67 | Croatia | Franjo Tudjman | 0.65 |
| France | Georges Bidault | 0.65 | China | Mao Zedong | 0.63 |
| China | Mao Zedong | 0.63 | U.S. | Ronald Reagan | 0.62 |
| South Africa | Jan Smuts | 0.63 | France | Georges Bidault | 0.61 |
| Iraq | Hassan Al-Bakr | 0.61 | Russia | Konstantin Chernenko | 0.56 |
| U.S. | John F. Kennedy | 0.60 | Russia | Josef Stalin | 0.55 |
| U.S. | Gerald Ford | 0.58 | Yemen | Ali Nassir Hassani | 0.54 |

Shifting from different ways of evaluating leader risk to comparing leader risk with system risk, Table 2.3 in *Why Leaders Fight* compares the performance of a leader-attribute model of international conflict in predicting the most conflict-prone leaders from 1875 to 2000 compared with a model using traditional system- and state-level factors scholars use to explain international conflict. The variables in the leader model come from Model 1 in Table A.1. The system model includes a series of traditional variables used to explain conflict propensity,⁴ including:

- National material capabilities, taken from the Correlates of War data
- Dummy variables for whether a country is a democracy or an autocracy
- The concentration level of power in the international system
- Whether the leader entered office through irregular means such as a coup
- The relative satisfaction of a state in a given year with the most powerful country in the international system in that year
- A dummy variable for whether a country has faced an international militarized challenge in the last five years
- Dummy variables for the outcome of the last war in which the country participates (win/lose/draw)
- Peace year cubic polynomials (described above)

Models 1 and 2 in Table A.3 thus show the regression models used to generate the predictions in Table 2.3.

⁴ The sources for the data are described in Chapter 2 or were generated using EUGene (Bennett and Stam 2000). The irregular entry variable in particular comes from Archigos (Goemans et al. 2009).

Table A.3: Comparing Leader Risk And System Risk

| | (Model 1) Leader Risk Model B/SE | (Model 2) System Risk Model B/SE | (Model 3) Combined Model B/SE |
|---|--|--|-------------------------------------|
| Military Service, No Combat | 0.709*** (0.192) | | 0.410** (0.162) |
| Military Service, Combat, 1 = Yes, 0 = No | 0.414** (0.170) | | 0.298* (0.175) |
| Prior Rebel Participation, 1 = Yes, 0 = No | 0.0223 (0.130) | | 0.252** (0.118) |
| National War Participation = Victory, 1 = Yes, 0 = No | 0.322* (0.165) | | 0.000696 (0.166) |
| National War Participation = Loss, 1 = Yes, 0 = No | 0.0964 (0.170) | | 0.0881 (0.150) |
| Rebel War Participation = Victory, 1 = Yes, 0 = No | -0.0611 (0.151) | | -0.189 (0.140) |
| Rebel War Participation = Loss, 1 = Yes, 0 = No | 0.714*** (0.265) | | 0.147 (0.200) |
| Education Level, 0 = Primary, 1 = Secondary, 2 = University, 3 = Graduate | 0.0464 (0.0663) | | 0.0376 (0.0569) |
| Leader Age | 0.0103** (0.00430) | | -0.0000644 (0.00382) |
| Occupation: Teacher, 1 = Yes, 0 = No | -0.0202 (0.130) | | 0.135 (0.113) |
| Occupation: Journalism, 1 = Yes, 0 = No | -0.136 (0.198) | | -0.0414 (0.175) |
| Occupation: Law, 1 = Yes, 0 = No | -0.147 (0.131) | | 0.0253 (0.117) |
| Occupation: Medicine, 1 = Yes, 0 = No | -0.553** (0.260) | | 0.0125 (0.245) |
| Occupation: Religion, 1 = Yes, 0 = No | 0.362 (0.459) | | 0.595 (0.385) |
| Occupation: Activist, 1 = Yes, 0 = No | 0.147 (0.130) | | 0.245** (0.119) |
| Occupation: Career Politician, 1 = Yes, 0 = No | -0.0579 (0.103) | | 0.0503 (0.0944) |
| Occupation: Creative, 1 = Yes, 0 = No | 0.525** (0.246) | | 0.358** (0.179) |
| Occupation: Business, 1 = Yes, 0 = No | -0.101 (0.143) | | 0.112 (0.133) |
| Occupation: Aristocrat/Landowner, 1 = Yes, 0 = No | -0.251 (0.212) | | -0.142 (0.186) |
| Occupation: Police, 1 = Yes, 0 = No | 0.231 (0.421) | | 0.417 (0.302) |
| Occupation: Military Career, 1 = Yes, 0 = No | -0.303 (0.192) | | -0.0750 (0.164) |
| Occupation: Science/Engineering, 1 = Yes, 0 = No | 0.217 (0.241) | | 0.288 (0.206) |
| Occupation: Blue Collar, 1 = Yes, 0 = No | -0.0453 (0.201) | | 0.0541 (0.188) |
| LEAD Gender, 0 = Female, 1 = Male | -0.394 (0.286) | | 0.0771 (0.367) |
| Number of Spouses (Count) | -0.0201 | | -0.00960 |

| | | | |
|---|------------------------------|------------------------------|------------------------------|
| | (0.0185) | | (0.0170) |
| Married (Ever), 1 = Yes, 0 = No | 0.0394 (0.353) | | -0.123 (0.274) |
| Married in Power, 1 = Yes, 0 = No | -0.175 (0.198) | | 0.136 (0.180) |
| Divorced (Ever), 1 = Yes, 0 = No | -0.0163 (0.120) | | 0.0670 (0.114) |
| Total number of children | 0.00397 (0.00546) | | 0.00250 (0.00543) |
| Parental Status, 0 = Together, 1 = Divorced | 0.378* (0.199) | | 0.129 (0.181) |
| Considered "Illegitimate" Child, 1 = Yes, 0 = No | -0.526** (0.233) | | -0.277 (0.218) |
| Royalty, 1 = Yes, 0 = No | -0.214 (0.209) | | -0.0263 (0.183) |
| Orphan, 1 = Yes, 0 = No | -0.0975 (0.258) | | 0.0130 (0.231) |
| Office Tenure (days)/1000 | 0.0243* (0.0146) | | 0.0176 (0.0152) |
| Years since country MID initiation | -0.330*** (0.0230) | -0.269*** (0.0237) | -0.256*** (0.0202) |
| Years since country MID initiation ^2 | 0.0109*** (0.00136) | 0.00929*** (0.00132) | 0.00877*** (0.00118) |
| Years since country MID initiation ^3 | -0.0000990*** (0.0000192) | -0.0000889*** (0.0000180) | -0.0000828*** (0.0000168) |
| Material Capabilities (CINC) | | 9.404*** (2.027) | 9.302*** (1.268) |
| Democracy: 1 = Yes, 0 = No | | -0.240 (0.159) | -0.162 (0.113) |
| Autocracy: 1 = Yes, 0 = No | | 0.168 (0.130) | 0.118 (0.103) |
| System concentration (CON), entire system | | -3.487*** (1.066) | -2.729*** (1.002) |
| Irregular Entry into Office: 1 = Yes, 0 = No | | 0.212* (0.116) | 0.0770 (0.114) |
| Tau betw CCode and system leader. | | -0.0436 (0.162) | 0.116 (0.126) |
| Country faced MID initiation in prior five years: 1 = Yes, 0 = No | | 0.659*** (0.0784) | 0.645*** (0.0694) |
| Last National War = Win: 1 = Yes, 0 = No | | 0.734*** (0.153) | 0.743*** (0.122) |
| Last National Loss = Win: 1 = Yes, 0 = No | | 0.648*** (0.132) | 0.627*** (0.113) |
| Last National Draw = Win: 1 = Yes, 0 = No | | 0.929*** (0.212) | 0.797*** (0.156) |
| Constant | -0.762 (0.522) | -0.725** (0.339) | -1.493** (0.606) |
| Observations | 11518 | 11388 | 11338 |
| Pseudo R ² | 0.186 | 0.232 | 0.241 |
| ll | -4542.1 | -4230.9 | -4167.9 |

Standard errors in parentheses.

* $p < 0.10$, ** $p < 0.05$, *** $p < 0.01$.

Model 3 in Table A.3, as described in footnote 37 on page 73, shows what happens when we combine both sets of variables into a single model. The resulting model (Model 3 in Table A.3)

supports our overall findings in three ways. First, even when controlling for unit and system level factors, many leader attributes are still statistically significant. Second, Model 3 has a larger R^2 than either of the first two models, demonstrating a better fit in general. Third, postestimation likelihood ratio tests show that Model 3 is an improvement over either Model 1 or Model 2. This demonstrates a point we make in *Why Leaders Fight* – our results show that it is the combination of leader attributes with traditional international relations variables that best predicts international conflict.

There is also the possibility that the particular dependent variable chosen – military dispute initiations as defined by the Militarized Interstate Disputes (MIDs) project – could bias the results because there are too many low-level military incidents in the dataset. In reality, the MIDs conflict initiation data are the best possible dependent variable for our purposes. Even low-level military disputes reflect the military readiness and rules of engagement set up as a result of national leader preferences. Moreover, interstate war is a sufficiently rare event in the international system that changing the dependent variable to focus on war initiation means there is only limited variation on the dependent variable. Nevertheless, as a robustness check, we estimate an additional model that builds on Table 2.3 in the book. In this model, the dependent variable is not just the initiation of a low-level military dispute, but the initiation of a dispute that escalates to the point where both sides use military force.⁵ We then replicate the procedure used to generate Figure 2.3:

- Generate a leader risk index and system risk index using the new dependent variable.
- Generate predicted values from each model for each leader and convert those values into percentages relative to other leaders. For example, 99% for Ayatollah Khomeini in the leader risk model means that model predicts he will be more conflict-prone than 99% of the leaders in the dataset.
- Compare those predicted values with the actual behavior of the leaders to see the advantages and disadvantages of the leader risk index and system risk index

Table A.4 thus shows the result of this process. It is exactly the same as Table 2.3 on pages 80-81, except the dependent variable is now whether a leader initiated a conflict that escalated to the point where both sides used military force. The list of leaders is the top 2% of all leaders in terms of total numbers of higher-level military conflicts (those that escalate to both sides actually using military force) initiated. Thus, the higher the percentages are for each model in predicting the risk propensity of each leader, the more accurate that model is at predicting the behavior of that leader.

Table A.4: Leader And System Risk Model Accuracy For Higher-Level Military Conflicts

| Country Name | Leader Name | Leader Risk Prediction (%) | System Risk Prediction (%) | Military Conflict Initiations (Both Sides Use Force) |
|--------------|------------------|----------------------------|----------------------------|--|
| China | Mao Zedong | 99 | 99 | 17 |
| North Korea | Kim Il-Sung | 99 | 93 | 16 |
| Germany | Adolf Hitler | 94 | 98 | 15 |
| Russia | Josef Stalin | 98 | 98 | 9 |
| Pakistan | Ayub Khan | 96 | 99 | 8 |
| India | Jawaharlal Nehru | 89 | 91 | 7 |
| Ethiopia | Mengistu Marriam | 99 | 89 | 7 |

⁵ Data generated with EUGene (Bennett and Stam 2000).

| | | | | |
|---|-----------------------------|----|----|---|
| Uganda | Yoweri Museveni | 98 | 98 | 6 |
| Germany | Wilhelm II | 85 | 79 | 6 |
| Syria | Hafez Al-Assad | 93 | 91 | 6 |
| Syria | Nureddin al-Atassi | 98 | 99 | 6 |
| China | Deng Xiaoping | 99 | 99 | 6 |
| Russia | Nicholas II | 83 | 84 | 6 |
| Russia | Vladimir Lenin | 92 | 99 | 5 |
| Somalia | Osman Daar | 86 | 71 | 5 |
| Italy | Benito Mussolini | 89 | 92 | 5 |
| Iran | Mohammad Reza | 87 | 96 | 5 |
| Egypt | Gamal Abdel Nasser | 99 | 99 | 5 |
| Syria | Amin al-Hafez | 95 | 99 | 5 |
| Zimbabwe | Ian Smith | 76 | 70 | 5 |
| Morocco | Hassan II | 59 | 86 | 5 |
| Somalia | Siad Barre | 97 | 89 | 5 |
| Iraq | Saddam Hussein | 93 | 92 | 5 |
| Iran | Ayatollah Khomeini | 82 | 99 | 5 |
| Democratic Republic of the Congo | Mobutu Sese Seko | 72 | 92 | 5 |
| Uganda | Idi Amin | 95 | 91 | 5 |
| Taiwan | Chiang Kai-shek | 93 | 83 | 4 |
| Vietnam | Ho Chi Minh | 94 | 89 | 4 |
| Pakistan | Muhammad Zia-ul-Haq | 94 | 98 | 4 |
| Cameroon | Paul Biya | 71 | 39 | 4 |
| U.S. | Lyndon Johnson | 94 | 97 | 4 |
| Israel | David Ben Gurion | 99 | 93 | 4 |
| India | Rajiv Gandhi | 76 | 97 | 4 |
| Laos | Kaysone Phomvihane | 90 | 98 | 4 |
| Poland | Jozef Pilsudski | 99 | 97 | 4 |
| Russia | Boris Yeltsin | 71 | 89 | 4 |
| Libya | Muammar Qaddafi | 93 | 93 | 4 |
| Indonesia | Sukarno | 86 | 59 | 4 |
| Jordan | Hussein Ibn Talal El-Hashim | 54 | 78 | 4 |

The results demonstrate that, as with lower-level militarized disputes initiations, both models do well at predicting some of the most dangerous leaders in history, and there are certainly instances where the leader model outperforms the system model. For example, the model more accurately

captures the behavior of two of the most risk-prone leaders in history: Kim Il-Sung and Mengistu of Ethiopia. One leader where the system model more accurately predicts the risk propensity of that leader is King Hussein of Jordan. The system model underpredicts his overall propensity to initiate the use of military force, but predicts him at a much higher risk level than the leader model. And indeed, early in King Hussein's time in office, he participated in several military conflicts against Israel. However, Jordan was rarely the ringleader of those wars, and during his reign, Jordan evolved to become one of the more peaceful states in the region and more cooperative with Israel than its neighbors were. The leader model accurately depicts him as not especially aggressive, but the system model picks up some of the ways the strategic environment shaped his behavior early in his reign in favor of the use of military force.

LEADER SELECTION

As we discuss in the text of the book, critics of *Why Leaders Fight* could argue that our findings about the importance of leaders reflect the simple fact that countries facing more dangerous security environments tend to select leaders with background experiences that the electorate in those countries believe will make the country safe. If this is true, it would mean that it is not leader backgrounds that drive national behavior, but the way leaders are selected.

Moreover, critics could argue that countries that have experienced more dangerous security environments, especially military conflict, are likely to have a larger percentage of leaders with background characteristics such as military service without combat experiences. Since a larger percentage of their population has military service, they are more likely to select leaders with that experience, and the electorate is more likely to want a leader with military experience. Thus, our background characteristic data, and results, reflect a country's military past rather than anything about leaders themselves.

As we argue in the book, this view is misguided. We agree completely that leader selection processes play a significant role in influencing national military behavior. Chapter 4 discusses how the effect of military service, in particular, varies significantly across regime type. While in democracies, those with prior combat experience are, on average, no more or less likely than those without military experience to start military conflicts, autocratic leaders with combat experience are extremely conflict prone. This is likely because autocratic leaders more often come to power through coups and other irregular means. Those are inherently risky activities, meaning those who react to their combat experiences by becoming more risk acceptant are more likely to end up in power in autocracies than in democracies, on average.

Also, while these selection arguments fit theoretically with dominant strands of international relations scholarship, they miss several things – such as the fact that nearly all countries select leaders based on their domestic policy preferences, rather than beliefs about how their background experiences will shape their military behavior in office. Electorates, whether or not voters are elites, also generally pay much more attention to candidate policies when thinking about leader selection. For example, despite the fact that both had military service but not combat experience and that one had served on a submarine while the other served on a Hollywood sound stage, voters in the 1980 U.S. presidential election, on average, saw Ronald Reagan as tougher than Jimmy Carter. This is because Reagan's policy preferences were more hawkish.

Beyond these arguments, and those raised in the book, there is additional evidence suggesting it is not simply leader selection processes or national history that influences leader background experiences driving our results.

- In many of our models, we control for the propensity of countries to get involved in military disputes in the first place with a lagged variable coded 1 if a country faced a military challenge⁶ in the last five years (meaning that country might be looking for a leader with hawkish foreign policy credentials, if the criticism is correct) and 0 otherwise.
- The differences in predicted leader risk between the leader risk model and system risk model demonstrate that leader backgrounds are not simply a proxy for national experiences. If that were the case, the results from both models would be identical.
- A likelihood ratio test conducted on the models used to produce Tables 2.2, 2.3, and A.3 shows the model combining leader and system/unit attributes is statistically superior to either one on its own. If leader attributes just reflected selection processes, there would be no improvement from adding each set of variables.
- Model 3 in Table A.3 has a larger R² and superior fit than either the leader model or system model alone, showing the importance of combining both sets of factors.

Additional tests, as described on pages 126-127 in *Why Leaders Fight*, show that leader selection processes do not drive our results. Table A.5 replicates Table 2.3, but only for those leaders who can be considered most “randomly” selected into office – those who entered office by regular means (preordained policy) following the death by natural causes of the previous leader. While it is true that no leader is ever entirely randomly selected, as we argue in the book – and as economists and others looking at the effects of leaders on national economic outcomes have argued – this is the cleanest possible set of leaders for testing purposes.⁷ This reduces the total dataset significantly, meaning the predicted values are therefore based on much less information (and arguably less reliable). However, that the leader model does well at predicting conflict behavior – and even better than the system model for important leaders such as Kim Jong-Il – highlights that leader background experiences, even when controlling for selection into office, matter a great deal.

In Table A.5, since the number of leaders is so small compared with the full LEAD dataset, the table shows all leaders who initiated more than one militarized dispute who fit the selection criteria, along with predicted risk percentage from the leader model, the predicted risk percentage from the system model, and the actual risk percentage based on the number of military conflicts initiated.

Table A.5: Leader And System Risk Model Accuracy For Randomly Selected Leaders

| Country Name | Leader Name | Leader Risk Prediction (%) | System Risk Prediction (%) | Actual Military Conflict Initiations (%) |
|--------------|---------------------|----------------------------|----------------------------|--|
| Russia | Josef Stalin | 96 | 95 | 99 |
| Russia | Nicholas II | 95 | 94 | 98 |
| Morocco | Hassan II | 77 | 77 | 97 |
| Portugal | Caetano Veloso | 88 | 93 | 96 |
| China | Jiang Zemin | 91 | 97 | 95 |
| Thailand | Thanon Kittakachorn | 90 | 90 | 95 |
| Taiwan | Lee Teng-Hui | 89 | 80 | 93 |
| North Korea | Kim Jong-Il | 97 | 91 | 93 |
| Vietnam | Le Duan | 79 | 84 | 92 |

⁶ Defined as the initiation of a militarized dispute.

⁷ Jones and Olken 2005; Besley and Reynal-Querol 2011.

| | | | | |
|---------------------|----------------------------|----|----|----|
| Egypt | Anwar Sadat | 85 | 89 | 92 |
| Angola | Jose Eduardo dos Santos | 67 | 72 | 90 |
| Egypt | Farouk I | 62 | 71 | 90 |
| Russia | Mikhail Gorbachev | 75 | 96 | 87 |
| China | Hua Guofeng | 94 | 96 | 87 |
| Russia | Yuri Andropov | 87 | 99 | 87 |
| Saudi Arabia | Saud bin Abdulaziz Al Saud | 79 | 76 | 87 |
| Kenya | Daniel Arap Moi | 59 | 46 | 84 |
| Saudi Arabia | Fahd bin Abdulaziz Al Saud | 58 | 82 | 84 |
| Iraq | Abd al-Ilah | 50 | 57 | 84 |
| Ethiopia | Haile Selassie | 81 | 67 | 78 |
| Iraq | Abdul Rahman Arif | 98 | 85 | 78 |
| Russia | Konstantin Chernenko | 92 | 98 | 78 |
| Bulgaria | Valko Chervenkov | 63 | 74 | 78 |
| Romania | Ferdinand I | 62 | 66 | 78 |
| Turkey | Ismet Inonu | 75 | 80 | 78 |
| Italy | Francesco Crispi | 93 | 88 | 78 |
| Denmark | Viggo Kampmann | 54 | 63 | 78 |

Other statistical tests on the models used to generate Table A.5 further show the importance of leaders even when looking at only the most randomly selected leaders into office. The positive predicted value of the model is the ability of the model to predict those cases that lead to the initiation of military conflicts. This is important because international conflict is a relatively rare event. Therefore, while many models can accurately predict the cases where international conflict does not happen, it is harder to predict the cases where conflict happens. The positive predicted value of the leader risk model is 71%, meaning the model accurately predicts 71% of the cases that end in military conflict (e.g., the dependent variable outcome is 1, not 0).⁸ These results reinforce the importance of leader attributes.

Another way to control for how country-specific attributes may bias the background experiences of leaders in a given country is to use a technique called matching. Matching, conceptually, involves pairing up cases where the relevant covariates are the same or close, except for a treatment variable (the variable to be tested). In this case, if the prior propensity of countries toward military conflict, or their current security environment, biases the background experiences of potential leaders, that is likely to manifest itself in whether prior leaders have military experience. Thus, we use military experience as the treatment variable to “match” on, trying to find leaders who are similar on the country-level dimensions such as material power and political regime type, but different on the military service variable.

To implement this, we use a technique called coarsened exact matching, developed by Iacus et al.⁹ As in prior research on leader backgrounds and military conflict,¹⁰ we collapse our two

⁸ The system risk model does almost as well – predicting 64% of the positive cases.

⁹ Iacus et al. 2011.

¹⁰ Horowitz and Stam 2014.

uniformed military service variables, Military Service, No Combat and Combat into a Military Service variable that is 1 if the leader had prior national military service and 0 otherwise, for simplicity's sake. This reduces the imbalance in our data from .52 prior to matching to .31 afterward, a significant improvement. Table A.6 shows the regression results are consistent with the original model used to generate Figure 2.3 and Table 2.3 in *Why Leaders Fight*.

Table A.6: Leader Risk Model – Coarsened Exact Matching

| | (Model 1) Leader Risk Model B/SE |
|---|--|
| Military Service, 1 = Yes, 0 = No | 0.464** (0.191) |
| Prior Rebel Participation, 1 = Yes, 0 = No | 0.167 (0.146) |
| National War Participation = Victory, 1 = Yes, 0 = No | 0.267 (0.173) |
| National War Participation = Loss, 1 = Yes, 0 = No | -0.00493 (0.169) |
| Rebel War Participation = Victory, 1 = Yes, 0 = No | -0.139 (0.176) |
| Rebel War Participation = Loss, 1 = Yes, 0 = No | 0.666** (0.272) |
| Education Level, 0 = Primary, 1 = Secondary, 2 = University, 3 = Graduate | 0.00977 (0.0760) |
| Leader Age | 0.00429 (0.00559) |
| Occupation: Teacher, 1 = Yes, 0 = No | -0.0548 (0.155) |
| Occupation: Journalism, 1 = Yes, 0 = No | -0.182 (0.217) |
| Occupation: Law, 1 = Yes, 0 = No | -0.00531 (0.169) |
| Occupation: Medicine, 1 = Yes, 0 = No | -0.0940 (0.429) |
| Occupation: Religion, 1 = Yes, 0 = No | 1.003** (0.507) |
| Occupation: Activist, 1 = Yes, 0 = No | 0.178 (0.141) |
| Occupation: Career Politician, 1 = Yes, 0 = No | -0.0684 (0.132) |
| Occupation: Creative, 1 = Yes, 0 = No | 0.352 (0.277) |
| Occupation: Business, 1 = Yes, 0 = No | -0.130 (0.184) |
| Occupation: Aristocrat/Landowner, 1 = Yes, 0 = No | -0.184 (0.236) |
| Occupation: Police, 1 = Yes, 0 = No | -0.0638 (0.436) |
| Occupation: Military Career, 1 = Yes, 0 = No | -0.282 (0.225) |
| Occupation: Science/Engineering, 1 = Yes, 0 = No | 0.274 (0.316) |
| Occupation: Blue Collar, 1 = Yes, 0 = No | -0.0944 (0.237) |
| LEAD Gender, 0 = Female, 1 = Male | 0.310 |

| | |
|--|---------------|
| | (0.454) |
| Number of Spouses (Count) | -0.0114 |
| | (0.0186) |
| Married (Ever), 1 = Yes, 0 = No | 0.324 |
| | (0.378) |
| Married in Power, 1 = Yes, 0 = No | -0.425* |
| | (0.239) |
| Divorced (Ever), 1 = Yes, 0 = No | 0.0253 |
| | (0.151) |
| Total number of children | 0.00963* |
| | (0.00513) |
| Parental Status, 0 = Together, 1 = Divorced | 0.333* |
| | (0.200) |
| Considered "Illegitimate" Child, 1 = Yes, 0 = No | -0.0868 |
| | (0.282) |
| Royalty, 1 = Yes, 0 = No | -0.363 |
| | (0.227) |
| Orphan, 1 = Yes, 0 = No | 0.0494 |
| | (0.254) |
| Office Tenure (days)/1000 | -0.00588 |
| | (0.0209) |
| Years since country MID initiation | -0.284*** |
| | (0.0310) |
| Years since country MID initiation ^2 | 0.00996*** |
| | (0.00171) |
| Years since country MID initiation ^3 | -0.0000979*** |
| | (0.0000250) |
| Constant | -1.303** |
| | (0.643) |
| Observations | 10726 |
| Pseudo R ² | 0.144 |
| ll | -4417.5 |

Standard errors in parentheses.

* $p < 0.10$, ** $p < 0.05$, *** $p < 0.01$.

Table A.7 then shows a replication of Table 2.3 using matching. Note that a few leaders are missing because it was not possible to find “matches” for them, as one might expect. The results demonstrate consistency with the findings in the book, showing the robustness of our argument even when accounting for leader selection.

Table A.7: Leader Risk Model Accuracy Using Coarsened Exact Matching

| Country Name | Leader Name | Leader Risk Prediction (%) |
|----------------|--------------------|----------------------------|
| Iran | Ayatollah Khomeini | 99 |
| Germany | Adolf Hitler | 97 |
| China | Mao Zedong | 99 |
| Iraq | Saddam Hussein | 97 |
| Russia | Josef Stalin | 98 |
| Russia | Leonid Brezhnev | 98 |
| Italy | Benito Mussolini | 98 |
| Russia | Nikita Khrushchev | 98 |

| | | |
|---|--------------------------|----|
| Germany | Wilhelm II | 78 |
| Russia | Nicholas II | 84 |
| Russia | Boris Yeltsin | 85 |
| North Korea | Kim Il-Sung | 97 |
| Taiwan | Chiang Kai-shek | 92 |
| Democratic Republic of the Congo | Mobutu Sese Seko | 86 |
| India | Jawaharlal Nehru | 89 |
| Syria | Hafez Al-Assad | 90 |
| China | Deng Xiaoping | 99 |
| Libya | Muammar Qaddafi | 88 |
| U.S. | Ronald Reagan | 99 |
| U.S. | William Clinton | 81 |
| Morocco | Hassan II | 94 |
| Indonesia | Sukarno | 95 |
| Egypt | Gamal Abdel Nasser | 97 |
| Pakistan | Ayub Khan | |
| Austria-Hungary | Francis Joseph I | 67 |
| South Africa | Louis Botha | 81 |
| Zimbabwe | Ian Smith | 85 |
| Ethiopia | Mengistu Marriam | 96 |
| Yugoslavia | Slobodan Milosevic | 93 |
| Uganda | Idi Amin | 98 |
| U.K. | Salisbury (3rd Marquess) | 93 |
| U.S. | Dwight Eisenhower | 97 |
| France | Francois Mitterrand | 95 |
| U.K. | Neville Chamberlain | 81 |
| U.S. | Woodrow Wilson | 83 |
| Russia | Vladimir Lenin | 87 |
| Portugal | Caetano Veloso | 83 |
| Iran | Akbar Hashemi Rafsanjani | 88 |
| Cuba | Fidel Castro | 73 |
| Syria | Abid Shishakli | 93 |
| Turkey | Turgut Ozal | 88 |
| U.K. | Winston Churchill | 92 |

MONADIC LEADER ATTRIBUTE MODELS (CHAPTERS 4 AND 5)

Chapter 4 of *Why Leaders Fight* presents multiple figures designed to show the individual importance of leader attributes such as military experience, rebel participation, and age. Table A.8 shows the statistical results for the models used to create these figures.

Table A.8: Logit Models Used To Generate Chapter 4 Figures

| | (1) Model For Figure 4.1 B/SE | (2) Model For Figure 4.2 B/SE | (3) Model For Figure 4.3 B/SE | (4) Model For Figure 4.4 B/SE |
|---|--|--|--|--|
| Military Service, No Combat | 0.374*** (0.135) | 0.174 (0.166) | 0.699*** (0.189) | 0.668*** (0.183) |
| Military Service, Combat, 1 = Yes, 0 = No | 0.103 (0.144) | 0.106 (0.192) | 0.398** (0.165) | 0.402** (0.164) |
| Prior Rebel Participation, 1 = Yes, 0 = No | 0.385*** (0.145) | 0.594*** (0.226) | 0.0287 (0.131) | -0.00363 (0.132) |
| National War Participation = Victory, 1 = Yes, 0 = No | 0.0698 (0.154) | 0.0291 (0.160) | 0.323* (0.166) | 0.321* (0.165) |
| National War Participation = Loss, 1 = Yes, 0 = No | 0.128 (0.147) | 0.101 (0.142) | 0.101 (0.171) | 0.0941 (0.169) |
| Rebel War Participation = Victory, 1 = Yes, 0 = No | -0.248 (0.151) | -0.193 (0.145) | -0.0626 (0.152) | -0.0541 (0.151) |
| Rebel War Participation = Loss, 1 = Yes, 0 = No | 0.218 (0.222) | 0.269 (0.225) | 0.709*** (0.267) | 0.719*** (0.255) |
| Leader Age | 0.00919* (0.00546) | 0.00810* (0.00490) | 0.0102** (0.00434) | 0.0141*** (0.00490) |
| Autocracy: 1 = Yes, 0 = No | 0.156 (0.101) | -0.122 (0.153) | | |
| National Material Capabilities (COW) | 9.589*** (1.336) | 9.467*** (1.301) | | |
| Tau B with System Leader | 0.150 (0.122) | 0.163 (0.119) | | |
| Office Tenure (days)/1000 | 0.0118 (0.0131) | 0.0174 (0.0135) | 0.0238 (0.0146) | 0.0217 (0.0151) |
| Country faced MID initiation in prior five years: 1 = Yes, 0 = No | 0.783*** (0.0746) | 0.787*** (0.0744) | | |
| Years since country MID initiation | -0.280*** (0.0224) | -0.278*** (0.0220) | -0.330*** (0.0229) | -0.330*** (0.0229) |
| Years since country MID initiation ^2 | 0.00962*** (0.00127) | 0.00959*** (0.00126) | 0.0109*** (0.00135) | 0.0109*** (0.00136) |
| Years since country MID initiation ^3 | -0.0000912*** (0.0000180) | -0.0000912*** (0.0000180) | -0.0000988*** (0.0000192) | -0.0000993*** (0.0000194) |
| Military Service, No Combat * Autocracy | | 0.819*** (0.238) | | |
| Military Service, Combat * Autocracy | | 0.621*** (0.217) | | |
| Prior Rebel Participation * Autocracy | | -0.174 (0.200) | | |
| Military Service, No Combat * Rebel | | -0.335 (0.287) | | |
| Military Service, Combat * Rebel | | -0.368 (0.235) | | |

| | | |
|---|----------------------|-----------------------|
| Education Level, 0 = Primary, 1 = Secondary, 2 = University, 3 = Graduate | 0.0453 (0.0651) | 0.0614 (0.0624) |
| Occupation: Teacher, 1 = Yes, 0 = No | -0.0192 (0.131) | -0.0263 (0.131) |
| Occupation: Journalism, 1 = Yes, 0 = No | -0.136 (0.199) | -0.139 (0.198) |
| Occupation: Law, 1 = Yes, 0 = No | -0.137 (0.133) | -0.125 (0.134) |
| Occupation: Medicine, 1 = Yes, 0 = No | -0.558** (0.260) | -0.593** (0.256) |
| Occupation: Religion, 1 = Yes, 0 = No | 0.366 (0.460) | 0.342 (0.463) |
| Occupation: Activist, 1 = Yes, 0 = No | 0.147 (0.128) | 0.130 (0.126) |
| Occupation: Career Politician, 1 = Yes, 0 = No | -0.0551 (0.102) | -0.0531 (0.101) |
| Occupation: Creative, 1 = Yes, 0 = No | 0.526** (0.246) | 0.507** (0.237) |
| Occupation: Business, 1 = Yes, 0 = No | -0.0997 (0.144) | -0.0894 (0.145) |
| Occupation: Aristocrat/Landowner, 1 = Yes, 0 = No | -0.261 (0.213) | -0.263 (0.218) |
| Occupation: Police, 1 = Yes, 0 = No | 0.231 (0.418) | 0.177 (0.434) |
| Occupation: Military Career, 1 = Yes, 0 = No | -0.292 (0.182) | -0.305* (0.179) |
| Occupation: Interpreter, 1 = Yes, 0 = No | -0.924*** (0.352) | -1.006*** (0.347) |
| Occupation: Science/Engineering, 1 = Yes, 0 = No | 0.216 (0.240) | 0.219 (0.235) |
| LEAD Gender, 0 = Female, 1 = Male | -0.394 (0.286) | -0.438 (0.279) |
| Married (Ever), 1 = Yes, 0 = No | 0.0400 (0.353) | 0.0503 (0.340) |
| Married in Power, 1 = Yes, 0 = No | -0.174 (0.200) | -0.166 (0.200) |
| Divorced (Ever), 1 = Yes, 0 = No | -0.0135 (0.118) | -0.0248 (0.120) |
| Number of Spouses (Count) | -0.0207 (0.0186) | -0.0244 (0.0202) |
| Total number of children | 0.00375 (0.00549) | 0.00285 (0.00572) |
| Parental Status, 0 = Together, 1 = Divorced | 0.378* (0.199) | 0.352* (0.198) |
| Considered "Illegitimate" Child, 1 = Yes, 0 = No | -0.481** (0.228) | -0.512** (0.228) |
| Royalty, 1 = Yes, 0 = No | -0.190 (0.210) | -0.212 (0.213) |
| Orphan, 1 = Yes, 0 = No | -0.116 (0.255) | -0.122 (0.251) |
| Autocracy: 1 = Yes, 0 = No | | 0.748* (0.406) |
| Autocracy * Age | | -0.00999 (0.00732) |

| | | | | |
|-----------------------|----------------------|----------------------|-------------------|---------------------|
| Constant | -2.067*** (0.282) | -2.001*** (0.274) | -0.761 (0.519) | -1.013** (0.495) |
| Observations | 11345 | 11345 | 11518 | 11518 |
| Pseudo R ² | 0.224 | 0.227 | 0.186 | 0.187 |
| ll | -4264.9 | -4248.4 | -4540.8 | -4534.5 |

Standard errors in parentheses.

* $p < 0.10$, ** $p < 0.05$, *** $p < 0.01$.

Figure 5.1 shows childhood exposure to war in the LEAD dataset. While our research in this area is still in its early stages, experiencing war during childhood may shape future risk propensity in important ways – just as exposure to other dangerous stimuli can shape future behavior. Table A.9 below shows an initial logit regression model where the dependent variable is militarized dispute initiation and the independent variables are childhood war exposure and adult military service. As discussed on page 156, the childhood war exposure variable is positive and significant even when controlling for adult exposure to the uniformed military.

Table A.9: Childhood War Exposure And Militarized Dispute Initiation

| | (Model 1) Childhood War Model B/SE |
|--------------------------------------|---|
| Military Service: 1 = Yes, 0 = No | 0.557*** (0.101) |
| War Experience (dummy, age 0-16) | 0.261*** (0.0963) |
| Constant | -1.090*** (0.0705) |
| Observations | 2107 |
| Pseudo R ² | 0.016 |
| ll | -1286.8 |

Standard errors in parentheses.

* $p < 0.10$, ** $p < 0.05$, *** $p < 0.01$.

While this finding is tentative and additional data gathering and theorizing is necessary, this is a potentially fruitful area for further research.

DYADIC LEADER AND SYSTEM RISK MODELS

Chapter 3 of *Why Leaders Fight* discusses the relative importance of leader risk in a regional and global context. This section of the Technical Appendix displays the regression results and other results of interest for critical choices described in Chapter 3. Table A.10 shows the regression results for the two models used to generate Table 3.1 on page 121. After the data are split randomly by leader-year, the first step is to run a regression where the dependent variable is militarized dispute initiation and the independent variables are our leader attribute variables. Model 1 shows the regression results for the first half of the data. We then generate an out-of-sample prediction of the leader risk score for the second half of the data and use those out of sample predictions just on the second half of the data, where the dependent variable is once again militarized dispute initiation (step 2, expressed in Model 2). The other independent variables, however, are common country and

system-level attributes, such as political regime type and relative power. We use this model to generate Table 3.1 in the book.

Table A.10: Dyadic Regression Models Used To Generate Table 3.1

| | (Model 1) Leader Risk Model on First Half of Data B/SE | (Model 2) Combined Model on Second Half of Data B/SE |
|---|---|---|
| Military Service, No Combat | 0.650** (0.280) | |
| Military Service, Combat | 0.343* (0.203) | |
| Prior Rebel Participation | -0.0298 (0.225) | |
| National War Participation = Victory, 1 = Yes, 0 = No | 0.836*** (0.216) | |
| National War Participation = Loss, 1 = Yes, 0 = No | 0.181 (0.242) | |
| Rebel War Participation = Victory, 1 = Yes, 0 = No | 0.352* (0.211) | |
| Rebel War Participation = Loss, 1 = Yes, 0 = No | 0.784*** (0.298) | |
| Education Level, 0 = Primary, 1 = Secondary, 2 = University, 3 = Graduate | -0.0505 (0.0904) | |
| Leader Age | 0.0118* (0.00700) | |
| Occupation: Teacher, 1 = Yes, 0 = No | 0.202 (0.207) | |
| Occupation: Journalism, 1 = Yes, 0 = No | 0.0891 (0.326) | |
| Occupation: Law, 1 = Yes, 0 = No | -0.0481 (0.195) | |
| Occupation: Medicine, 1 = Yes, 0 = No | -0.434* (0.263) | |
| Occupation: Religion, 1 = Yes, 0 = No | 0.702 (0.736) | |
| Occupation: Activist, 1 = Yes, 0 = No | 0.198 (0.173) | |
| Occupation: Career Politician, 1 = Yes, 0 = No | -0.0523 (0.144) | |
| Occupation: Creative, 1 = Yes, 0 = No | 0.0733 (0.680) | |
| Occupation: Business, 1 = Yes, 0 = No | -0.186 (0.169) | |
| Occupation: Aristocrat/Landowner, 1 = Yes, 0 = No | -0.208 (0.423) | |
| Occupation: Police, 1 = Yes, 0 = No | 0.215 (0.415) | |
| Occupation: Military Career, 1 = Yes, 0 = No | -0.451 (0.285) | |
| Occupation: Science/Engineering, 1 = Yes, 0 = No | 0.0533 (0.280) | |

| | | |
|---|-------------------------|----------------------------|
| Occupation: Blue Collar, 1 = Yes, 0 = No | -0.0882 (0.225) | |
| LEAD Gender, 0 = Female, 1 = Male | 0.433 (0.383) | |
| Number of Spouses (Count) | -0.0204 (0.0289) | |
| Married (Ever), 1 = Yes, 0 = No | 0.119 (0.582) | |
| Married in Power, 1 = Yes, 0 = No | -0.535 (0.373) | |
| Divorced (Ever), 1 = Yes, 0 = No | -0.174 (0.152) | |
| Total Number Of Children | -0.00396 (0.00792) | |
| Parental Status, 0 = Together, 1 = Divorced | 0.457** (0.213) | |
| Considered "Illegitimate" Child, 1 = Yes, 0 = No | -0.377 (0.282) | |
| Royalty, 1 = Yes, 0 = No | 0.351 (0.372) | |
| Orphan, 0 = No, 1 = Yes | 0.0302 (0.273) | |
| Office Tenure/1000 | 0.0154 (0.0130) | |
| Leader Peace Years Spline 1 | 0.00817*** (0.00148) | |
| Leader Peace Years Spline 2 | -0.0138*** (0.00472) | |
| Leader Peace Years Spline 3 | 0.0155* (0.00799) | |
| Predicted Leader Risk Score | | 156.7*** (12.63) |
| Democracy, Side A | | 0.444*** (0.124) |
| Democracy, Side B | | 0.724*** (0.132) |
| Joint Democracy | | -1.231*** (0.213) |
| Balance of Force (COW data) | | 0.394*** (0.147) |
| Defense pact between these two states, 0=no, 1=yes | | 1.293*** (0.311) |
| States are directly contiguous on land, 0/1 | | 3.251*** (0.122) |
| Concentration Of Power In International System | | 2.311** (1.006) |
| Dyadic Satisfaction (Tau_b) | | -2.057*** (0.553) |
| # Of Great Powers In International System | | 0.209*** (0.0474) |
| Country Peace Years Spline 1 | | 0.00253*** (0.000193) |
| Country Peace Years Spline 2 | | -0.00185*** (0.000153) |
| Country Peace Years Spline 3 | | 0.000417*** (0.0000390) |
| Constant | -6.950*** | -7.625*** |

| | | |
|-----------------------|---------|---------|
| | (0.843) | (0.526) |
| Observations | 565055 | 494839 |
| Pseudo R ² | 0.036 | 0.221 |
| ll | -7460.5 | -5599.5 |

Standard errors in parentheses

* $p < 0.10$, ** $p < 0.05$, *** $p < 0.01$

One reason the results appear reliable is that they replicate some of the stronger and more robust findings in existing international relations literature. For example, the significance of the joint democracy variable is consistent with research on the democratic peace.¹¹ We therefore replicate Table A.10 with an additional measure of the democratic peace to further explore the relationship between leaders and political regime type. Table A.11 shows a model that is identical to Model 2 in Table A.10, except it changes the way political regime type is measured. Model 1 in Table A.11 drops the dummy variables for whether each side is a democracy and the joint democracy dummy variable. Instead, it includes *DemHigh*, the polity score of the most democratic state in the dyad, and *DemLow*, the polity score of the least democracy state in the dyad.¹² The statistical significance of the predicted leader risk score variable and *DemLow* in model 1 further reinforces our results by demonstrating their consistency with existing findings.

Table A.11: Dyadic Robustness Using Alternative Regime Type Measurement

| | (Model 1) Alternative Regime Type Measure: Dem Low B/SE |
|---|---|
| Predicted Leader Risk Score | 156.4*** (12.53) |
| Democracy Level (High State In Dyad) | 0.0523*** (0.00850) |
| Democracy Level (Low State In Dyad) | -0.0419*** (0.00763) |
| Balance of Force (COW data) | 0.359** (0.148) |
| Defense pact between these two states, 0=no, 1=yes | 1.280*** (0.305) |
| States are directly contiguous on land, 0/1 | 3.271*** (0.121) |
| Concentration Of Power In International System | 2.199** (1.007) |
| Dyadic Satisfaction (Γ au_b) | -1.971*** (0.540) |
| # Of Great Powers In International System | 0.215*** (0.0478) |
| Country Peace Years Spline 1 | 0.00255*** (0.000194) |
| Country Peace Years Spline 2 | -0.00187*** (0.000153) |
| Country Peace Years Spline 3 | 0.000420*** (0.0000392) |
| Constant | -7.787*** |

¹¹ Russett 1993.

¹² Dafoe and Caughey 2011.

| | |
|-----------------------|---------|
| | (0.523) |
| Observations | 494839 |
| Pseudo R ² | 0.221 |
| ll | -5600.1 |

Standard errors in parentheses

* $p < 0.10$, ** $p < 0.05$, *** $p < 0.01$

The results presented in Chapter 3 beginning around page 122 are also robust to the use of different dependent variables. As with the monadic discussion above, a critic could argue that militarized disputes are too low level to really tell us something important about international politics. We therefore replicate Table A.10 once again, but with two different dependent variables (though as with Table A.11, we display only part 2, where we use the out-of-sample predictions of leader risk and put them in a separate regression model).

Model 1 in Table A.12 shows the statistical significance of leader risk when the dependent variable is whether Side A initiated a militarized dispute important enough that is escalated to both sides using actual military force. Model 2 shows that the results are robust when the dependent variable is whether Side A initiated a militarized dispute that escalated to the point where fatalities occurred. In both models, the predicted leader risk score (part 2 above) is statistically significant even when put in a model with prominent system and country-level attributes.

Table A.12: Dyadic Robustness Using Different Dependent Variables

| | (Model 1) Leader Risk Model on First Half of Data | (Model 2) Combined Model on Second Half of Data |
|---|---|---|
| Predicted Leader Risk Score | 294.5*** (47.93) | 666.3*** (160.8) |
| Democracy, Side A | 0.577** (0.224) | 0.446 (0.290) |
| Democracy, Side B | 0.581** (0.241) | 0.591* (0.302) |
| Joint Democracy | -1.866*** (0.487) | -1.940*** (0.743) |
| Balance of Force (COW data) | 0.0996 (0.242) | -0.205 (0.302) |
| Defense pact between these two states, 0=no, 1=yes | 0.695* (0.408) | 0.262 (0.519) |
| States are directly contiguous on land, 0/1 | 4.308*** (0.226) | 4.488*** (0.274) |
| Concentration Of Power In International System | 1.362 (1.878) | -0.320 (2.317) |
| Dyadic Satisfaction (Tau_b) | -1.606** (0.716) | -1.704* (0.879) |
| # Of Great Powers In International System | 0.00757 (0.0921) | -0.153 (0.114) |
| Country Peace Years Spline 1 | 0.00392*** (0.000538) | 0.00405*** (0.000749) |
| Country Peace Years Spline 2 | -0.00292*** (0.000438) | -0.00308*** (0.000631) |
| Country Peace Years Spline 3 | 0.000680*** (0.000119) | 0.000740*** (0.000182) |
| Constant | -7.739*** (0.890) | -6.705*** (1.037) |
| Observations | 493984 | 493984 |

| | | |
|-----------------------|---------|---------|
| Pseudo R ² | 0.326 | 0.323 |
| ll | -1565.1 | -1044.0 |

Standard errors in parentheses

* $p < 0.10$, ** $p < 0.05$, *** $p < 0.01$

Finally, in footnote 56 on page 120, we suggest that we used bootstrapped standard errors in the stepwise regression models used to determine the variables included in the stepwise regression replication of the results described on page 120. This is incorrect. We used bootstrapped standard errors for robustness testing of the overall models, not the stepwise regression models. Regardless, this does not influence the results, based on subsequent testing.

CODEBOOK AND VARIABLE EXPLANATION

As explained in *Why Leaders Fight*, the LEAD database contains a number of different leader background experiences. Some of them were not included in the model for technical reasons, generally because we lacked data or confidence in that data. We explain the data itself in greater detail here and this supplements the information available in our other published work on the LEAD dataset.¹³ The LEAD dataset codes leader background attributes by country year for all heads of states from 1875 to 2004, though nearly all of the data analysis conducted using LEAD has covered the 1875-2001 timeframe. This codebook describes the key variables associated with the LEAD dataset, along with any relevant information necessary to understand important coding decisions.

| <i>Leader Identification and Service</i> | | |
|--|----------------|-------------------------------|
| <i>ccode</i> | Ccode | COW numeric country code |
| <i>idacr</i> | Country | COW alpha country code |
| <i>year</i> | Year | Year |
| <i>leaderid</i> | LEAD Leader ID | Leader ID in the LEAD dataset |
| <i>leadername</i> | Leader Name | Leader name |
| <i>startdate</i> | Start Date | Leader start date |
| <i>inday</i> | Entry Day | Leader start day |
| <i>inmonth</i> | Entry Month | Leader start month |
| <i>inyear</i> | Entry Year | Leader start year |

¹³ Ellis et al. 2015

| | | |
|------------------------|-------------------|-----------------------------------|
| <i>startyearlyjobs</i> | Yearly Start Date | Leader start date in a given year |
| <i>enddate</i> | Leader Exit Date | Leader exit date |
| <i>outday</i> | Exit Day | Leader exit day |
| <i>outmonth</i> | Exit Month | Leader exit month |
| <i>outyear</i> | Exit Year | Leader exit year |
| <i>endyearlyjobs</i> | Yearly End Date | Leader exit date in a given year |
| <i>yearlyduration</i> | Yearly Duration | Days in office |

Notes: Some Archigos variables included for comparability and ease of merging. They are generally close to identical, with some updates in the comparable LEAD variables.

| <i>National Military Service Variables</i> | | |
|--|-----------------------------------|--|
| <i>milservice</i> | National Military Service | Did the leader previously serve in the national military? (0 no, 1 yes) |
| <i>combat</i> | National Military Service, Combat | Did the leader previously serve in the national military but not see combat? (0 no, 1 yes) |
| <i>warwin</i> | War Win | Did the leader participate in a conflict while in national military and did their side win? (0 no, 1 yes) |
| <i>warloss</i> | War Lose | Did the leader participate in a conflict while in national military and did their side lose? (0 no, 1 yes) |
| <i>miledu</i> | Military Education | Did the leader have a military education? (0 no, 1 yes) |

Notes: The core national military service variables are coded based on whether credible sources indicate that a leader participated in the national military of a country, and then whether credible sources suggest the leader had one of the other experiences referenced above.

For the *combat* variable, participating in combat is defined as deployment as part of a national military in what would generally be considered a war zone (absent evidence of nonparticipation), deployment/general participation in a battle, or affirmative evidence of direct combat. Note that this does not require affirmative evidence of a given person firing a weapon, but instead adopts a definition requiring the soldier to be deployed in a war zone facing the risk of death in general. In

general, *combat* was coded 0 in the absence of affirmative evidence that a leader who served in the military had combat experience.

The *warwin* and *warloss* variables are coded based on version 3.0 of the Correlates of War Inter-state, Intra-state, and Extra-state datasets. They are 1 if the leader participated in a conflict that ended in a win (loss) based on COW 3.0, and 0 otherwise.

| <i>Rebel Participation</i> | | |
|----------------------------|---------------------|---|
| <i>rebel</i> | Rebel Participation | Did the leader serve in a rebellion against the state? (0 no, 1 yes) |
| <i>rebelwin</i> | Rebel War Win | Did rebel group participation lead to conflict against the state and did their side win? (0 no, 1 yes) |
| <i>rebelloss</i> | Rebel War Lose | Did rebel group participation lead to conflict against the state and did their side lose? (0 no, 1 yes) |

Notes: *Rebel* is defined as someone who participates in actions designed to fight against the state or overturn the state. Thus, someone who participates in a coup counts as a rebel even if the coup is nonviolent, because coup plotters take on the risk of violence (and the risks if they are caught) in attempting a coup. Someone is also a rebel if he or she is fighting against the ruling government in a territory, even if he or she believes that the ruling government is illegitimate. Thus, the category of rebel includes both future autocratic leaders such as Mao Zedong as well as future democratic leaders such as Charles de Gaulle.

The *rebelwin* and *rebelloss* variables are coded based on version 3.0 of the Correlates of War Inter-state, Intra-state, and Extra-state datasets. They are 1 if the leader participated in a conflict on the rebel side that ended in a win (loss) based on COW 3.0, and 0 otherwise. This coding was supplemented with case-specific descriptions of rebellion outcomes when there were significant military actions not coded by COW for one reason or another.

| <i>Education</i> | | |
|-------------------|--------------------|---|
| <i>leveledu</i> | Level of Education | What is the highest level of education a leader had? (0 Primary, 1 Secondary, 2 University, 3 Graduate) |
| <i>primaryedu</i> | Primary Education | What type of primary school did the leader attend? (0 Public, 1 Private) |
| <i>boarding</i> | Boarding School | Did the leader attend boarding school? (0 no, 1 yes) |

Notes: For the education variable *leveledu*, once a leader begins a given level of education, the leader is coded as if he or she has that level of education, e.g., a 3 just means starting graduate school, not necessarily finishing graduate school. Leaders with law degrees are generally coded as having finished

graduate school, which is one potential limitation on this data because the level of education necessary to get a law degree varies across countries.

| <i>Upbringing</i> | | |
|---------------------|---------------------------------|--|
| <i>illegit</i> | Considered “illegitimate” child | Was the leader considered an “illegitimate” child? (0 no, 1 yes) |
| <i>orphan</i> | Orphan | Was the leader an orphan? (0 no, 1 yes) |
| <i>parstability</i> | Parental Stability | Were the parents of the leader married? (0 together, 1 divorced) |
| <i>royalty</i> | Royalty | Was the leader royalty/raised as royalty? (0 no, 1 yes) |
| <i>dadwork</i> | Father’s Occupation | What was the father’s occupation? (text) |
| <i>momwork</i> | Mother’s Occupation | Did the mother work? (0 no, 1 yes) |
| <i>onlychild</i> | Only Child | Was the leader an only child? (0 no, 1 yes) |
| <i>firstborn</i> | First Born | Was the leader a first born? (0 no, 1 yes) |
| <i>lastborn</i> | Last Born | Was the leader the last born? (0 no, 1 yes) |
| <i>firstson</i> | First Son | Was the leader the first-born son? (0 no, 1 yes) |
| <i>firstdau</i> | First Daughter | Was the leader the first-born daughter? (0 no, 1 yes) |
| <i>kidhealth</i> | Kid Health | Was the leader sickly as a child? (0 no, 1 yes) |

Notes: The *illegit* variable is one of the few in the LEAD dataset that is coded somewhat subjectively. It was coded 1 if researchers uncovered evidence that the child was considered illegitimate, and 0 otherwise, rather than coded purely on the basis of a technical definition of birth out of wedlock. This is due to different societal norms in different time periods. Similarly, *kidhealth* is a subjective assessment of the researchers based on the available evidence.

Coding birth order proved one of the most complicated facets of the entire LEAD dataset, which is why birth order variables are not currently included in any papers. Most theories about the relevance of birth order have to do with role specialization and how the way that children interact in the household may influence long-lasting personality characteristics. There are two issues, however, that significantly complicate coding even when it is possible to find basic birth order data for leaders. First, because birth order theories have to do with role specialization, there is the potential for cohort effects.¹⁴ For example, if a future leader is born fifteen years after the first born in their

¹⁴ Eckstein and Kaufman 2012; Stewart 2012.

family, they are not really competing for resources because of the enormous age gap, or they are competing for only a few years. This raises the question of whether that leader should be considered a non-first born or a first born. Second, in different societies in different time periods, there are strong gender effects that drove the treatment of children. A first-born girl in the United States today, on average, receives treatment relative to male children different from she would have 100 years ago. This matters for coding because it means that researchers not only need to know the specific gender and birth dates of any siblings, but also need to know details about how that given society in that time period treated female children (along with details, optimally, on that family in particular). This research requirement was beyond the scope of this project, which places a significant constraint on any birth order data as well.

| <i>Family</i> | | |
|-----------------------|------------------------|---|
| <i>age</i> | Age | What was the age of the leader in that year? (number) |
| <i>gender</i> | Gender | What was the gender of the leader? (0 female, 1 male) |
| <i>married</i> | Married | Was the leader ever married? (0 no, 1 yes) |
| <i>marriedinpower</i> | Married While in Power | Was the leader married while holding office? (0 no, 1 yes) |
| <i>divorced</i> | Divorced | Was the leader ever divorced? (0 no, 1 yes) |
| <i>totalspouses</i> | Total Spouses | Number of spouses in lifetime (number) |
| <i>sons</i> | Sons | How many sons did the leader have? (number) |
| <i>daughters</i> | Daughters | How many daughters did the leader have? (number) |
| <i>adopted</i> | Adopted | How many children did the leader adopt? (number) |
| <i>childtotal</i> | Child Total | How many children, total, did the leader have? (number) |
| <i>childrendied</i> | Children Died | How many children did the leader have that had died prior to a given year? (number) |

Notes: The gender variable treats gender as a 0/1 binary. Reality is more complicated, of course, but for coding purposes, the researchers simplified to 0/1.

The *totalspouses* variable is a proxy, in some ways, for polygamist leaders, looking at the distribution. In most cases, *childtotal* is simply the sum of *sons* plus *daughters* plus *adopted*. In some cases, however, total data were available but not data on the breakdown.

The *childrendied* variable and the *divorced* variables, in particular, sometimes show variation when a leader is in office, if they are divorced while in office (such as Nelson Mandela) or have a child who passes away while they are in office.

| <i>Prior Occupation</i> | | |
|-------------------------|-------------------|--|
| <i>militarycareer</i> | Career Military | Was the leader a career military officer/enlisted before entering office? (0 no, 1 yes) |
| <i>law</i> | Law | Was the leader primarily a lawyer before entering office? (0 no, 1 yes) |
| <i>business</i> | Business | Was the leader primarily a business person before entering office? (0 no, 1 yes) |
| <i>creative</i> | Creative | Was the leader primarily a creative professional (e.g. arts) before entering office? (0 no, 1 yes) |
| <i>careerpolitician</i> | Career Politician | Was the leader primarily a career politician before entering office? (0 no, 1 yes) |
| <i>police</i> | Police | Was the leader primarily in the police before entering office? (0 no, 1 yes) |
| <i>teacher</i> | Teacher | Was the leader primarily a teacher before entering office? (0 no, 1 yes) |
| <i>journalism</i> | Journalism | Was the leader primarily a journalist before entering office? (0 no, 1 yes) |
| <i>engineering</i> | Engineering | Was the leader primarily an engineer before entering office? (0 no, 1 yes) |
| <i>medicine</i> | Medicine | Was the leader primarily a medical professional (e.g. nurse, doctor) before entering office? (0 no, 1 yes) |
| <i>religion</i> | Religion | Was the leader primarily a religious professional (e.g. pastor) before entering office? (0 no, 1 yes) |
| <i>activist</i> | Activist | Was the leader primarily an activist before entering office? (0 no, 1 yes) |

| | | |
|----------------------------|-------------|---|
| <i>aristocratlandowner</i> | Aristocrat | Was the leader primarily an aristocrat before entering office? (0 no, 1 yes) |
| <i>science</i> | Science | Was the leader primarily in the sciences before entering office? (0 no, 1 yes) |
| <i>bluecollar</i> | Blue Collar | Was the leader primarily a blue-collar worker before entering office? (0 no, 1 yes) |
| <i>economics</i> | Economics | Was the leader primarily in economics before entering office? (0 no, 1 yes) |
| <i>agriculture</i> | Agriculture | Was the leader primarily in agriculture before entering office? (0 no, 1 yes) |
| <i>filmmusic</i> | Film/Music | Was the leader primarily in film/music before entering office? (0 no, 1 yes) |
| <i>interpreter</i> | Interpreter | Was the leader primarily an interpreter before entering office? (0 no, 1 yes) |
| <i>Writer</i> | Writer | Was the leader primarily a writer before entering office? (0 no, 1 yes) |

Notes: Though this did not happen that often, leaders could have more than one prior occupation when applicable, e.g., when they had two sustained careers prior to entering office.

The *science* variable aggregates the *engineering* variable along with a secondary science variable. Thus, when the *science* variable is used, the *engineering* variable should not be used.

The *bluecollar* variable aggregates the *labor* variable along with *agriculture*. Thus, when the *bluecollar* variable is used, the *activist* variable should not be used.

| <i>Other</i> | | |
|---------------------|-----------------|--|
| <i>wealth</i> | Wealth | What was the wealth level of the family of the leader growing up? (0 low, 1 middle class, 2 high) |
| <i>socstatus</i> | Social Status | What was the social status of the family of the leader growing up? (0 low, 1 middle class, 2 high) |
| <i>mentalhealth</i> | Mental Health | Are there reports the leader had mental health issues? (0 no, 1 yes) |
| <i>physhealth</i> | Physical Health | Were there reports the leader had physical health issues in that given year? (0 no, 1 yes) |

| | | |
|-----------------|---------------------|--|
| <i>yrsexper</i> | Years of Experience | How many years of prior experience did the leader have before entering office? (count) |
|-----------------|---------------------|--|

Notes: These are the most subjective of the variables in the LEAD dataset. They also contain the most missing data. They are not used in any of the data analysis but we provide them to potentially assist future researchers interested in these areas.

| <i>Variables from Archigos</i> | | |
|--------------------------------|----------------------|---|
| <i>Leadid30</i> | Archigos Leader ID | Leader ID in the Archigos dataset, updated with a few new leader IDs from Archigos leadid29 |
| <i>leaderarchigos</i> | Archigos Leader Name | Leader name in the Archigos dataset |
| <i>sdarchigos</i> | Archigos Start Date | Leader start date in the Archigos dataset |
| <i>edarchigos</i> | Archigos Exit Date | Leader exit date in the Archigos dataset |
| <i>entry</i> | Leader Entry Method | Method of entry into office (0 reached power through regular means, 1 reached power through irregular means, 2 directly imposed by another state) |
| <i>exit</i> | Leader Exit Method | Method of exit from office (0 lost power through regular means, 1 died of natural causes, 2 retired due to ill health) |
| <i>puppet</i> | Puppet | Are there reasons to think the leader was a puppet? (0 no, 1 yes) |

Notes: We also include some variables from Archigos to ensure comparability and ease of merging.¹⁵

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¹⁵ Goemans et al. 2009.

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